



PATENT APPLICATION  
File No.: 97-38C1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Paul O. Sheppard, Debra G. Gilbertson  
Serial No.: 10/010,050 Group Art Unit: 1653  
Filed: November 9, 2001 Examiner: R. Mitra  
For: SECRETED PROTEINS ENCODED BY HUMAN CHROMOSOME 13

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. §1.56, and in accordance with C.F.R. §§1.97 *et. seq.*, references listed on the enclosed 1449 form(s) are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested.

The present application is a continuation of U.S. Patent Application Serial No. 09/122,383, filed July 24, 1998, which claims the benefit of U.S. Patent Application Serial No. 60/053,613, filed July 24, 1997.

Pursuant to the provisions of M.P.E.P. §609 and in accordance with 37 C.F.R. §1.98(d), Applicants are not required to include copies of the documents listed on the 1449 forms that were previously cited by or submitted to the Patent Office in a prior application identified herein. However, at the Examiner's request, enclosed are copies of the cited references.

Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

It is believed that no fee is due, as this Information Disclosure Statement was filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 26-0290.

The Examiner is also invited to contact the Undersigned if there are any questions concerning this paper.

Respectfully submitted,

A handwritten signature in black ink, reading "Brian J. Walsh". The signature is written in a cursive, flowing style with a large initial 'B'.

Brian J. Walsh  
Registration No. 45,543  
Direct Dial (206) 442-6540

FORM PTO 1449  
(REV 2-32)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

File No. 97-38C1

Serial No.  
10/010,050

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Applicant(s):  
Sheppard et al.

Filing Date:  
November 9, 2001

Group: 1653

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	98/45437	10/15/98	PCT – description - SEQ ID NO:825, seq position 99-500		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)

A2	Savukoski et al., <u>Nature Genetics</u> 19: 286-288, 1998.
A3	Laan et al., <u>Genome Res.</u> 6: 1002-1012, 1996.
A4	Sales, <u>Comp. Network &amp; ISDN Systems</u> 21: 307-314, 1991.
A5	Klockars et al., <u>Genomics</u> 35: 71-78, 1996.
A6	Incyte Clone Information Results, Incyte Pharmaceuticals, 1995, Accession No. INC110033
A7	Incyte Clone Information Results, Incyte Pharmaceuticals, 1995, Accession No. INC455940
A8	Incyte Clone Information Results, Incyte Pharmaceuticals, 1995, Accession No. INC408571

Examiner

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO 1449</b> (REV 2-32)	<b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	File No. 97-38C1	Serial No. 10/010,050
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A9	Wilson, The WashU-Merck EST Project, 1995, Accession No. T7851
A10	Wilson, The WashU-Merck EST Project, 1995, Accession No. R64268
A11	Wilson, The WashU-Merck EST Project, 1995, Accession No. H01255
A12	Wilson, The WashU-Merck EST Project, 1995, Accession No. H01170
A13	Wilson, The WashU-Merck EST Project, 1995, Accession No. W312214
A14	Wilson, The WashU-Merck EST Project, 1995, Accession No. N24772
A15	Lee et al., Proc. Natl. Acad. Sci. 92: 8303-8307, 1995, Accession No. H33979
A16	LifeSeq® Library Information Results, Incyte Pharmaceuticals, 1995, Accession No. AMLBNOT01.
A17	LifeSeq® Library Information Results, Incyte Pharmaceuticals, 1995, Accession No. KERANOT01
A18	LifeSeq® Library Information Results, Incyte Pharmaceuticals, 1995, Accession No. EOSIHET02
A19	Wilson, The WashU-Merck EST Project, 1996, Accession No. N39742
A20	Wilson, The WashU-Merck EST Project, 1996, Accession No. AA037599
A21	Wilson, The WashU-Merck EST Project, 1996, Accession No. AA039799
A22	Wilson, The WashU-Merck EST Project, 1996, Accession No. AA156762

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A23	Wilson, The WashU-Merck EST Project, 1996, Accession No. AA156959
A24	Marra, WashU-HHMI Mouse EST Project, 1996, Accession No. AA110817
A25	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC903460
A26	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC1304316
A27	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC1545764
A28	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC1673570
A29	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC1835502
A30	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC2057157
A31	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC1985771
A32	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC2127365
A33	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC2129670
A34	Incyte Clone Results, Incyte Pharmaceuticals, 1996, Accession No. INC2109489
A35	Wilson, WashU-Merck EST Project, 1997, Accession No. AA284747
A36	Wilson, WashU-Merck EST Project, 1997, Accession No. COLNNOT07

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A37	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1996, Accession No. PLACNOT02
A38	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1996, Accession No. PROSTUT04
A39	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1996, Accession No. BLADNOT05
A40	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1996, Accession No. BRAINON01
A41	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1996, Accession No. BEPINOT01
A42	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1996, Accession No. LUNGAST01
A43	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1996, Accession No. BRAITUT03
A44	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1996, Accession No. KIDNNOT02
A45	Strausberg, Cancer Genome Anatomy Project, 1997, Accession No. 256064
A46	Kerlavage, TIGR EST, 1997, Accession NO. AA300650
A47	TIGR Tentative Human Consensus, 1997, Accession No. AA156762
A48	TIGR Tentative Human Consensus, 1997, Accession No. EST13763
A49	TIGR Tentative Human Consensus, 1997, Accession No. THC180454

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A50	TIGR Tentative Human Consensus, 1997, Accession No. THC110372
A51	TIGR Tentative Human Consensus, 1997, Accession No. AA039799
A52	Hawkins et al., Genomic Sequence from Human 13, 1997, Accession No. Accession No. AC001226
A53	Incyte Clone Results, Incyte Pharmaceuticals, date entered into database unknown, LIN1545764R
A54	Incyte Clone Results, Incyte Pharmaceuticals, date entered into database unknown, LIN1545764F
A55	Kerlavage, TIGR EST, date unknown, Accession No. AA300650
A56	TIGR Tentative Human Consensus, date unknown, Accession No. EST13763
A57	TIGR Tentative Human Consensus, date unknown, Accession No. AA039799
A58	TIGR Tentative Human Consensus, date unknown, Accession No. AA156762
A59	TIGR Tentative Human Consensus, date unknown, Accession No. THC110372
A60	TIGR Tentative Human Consensus, date unknown, Accession No. THC180454
A61	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC2240193
A62	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC2548379
A63	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. Accession No. INC2634185

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	A65	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC2484430
	A66	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC2792624
	A67	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC2707165
	A68	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC3189262
	A69	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC2688232
	A70	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC3396591
	A71	Incyte Clone Information Results, Incyte Pharmaceuticals, 1997, Accession No. INC2968352
	A72	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997, Accession No. PANCTUT02
	A73	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997, Accession No. LUNGTUT06
	A74	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997, Accession No. COLNTUT15
	A75	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997, Accession No. BRAITUT22
	A76	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997, Accession No. SMCANOT01
	A77	LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997, Accession No. COLNTUT16

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